

## 2016-2017 ANNUAL REPORT



# UPLOADS

UNDERSTANDING AND PREVENTING LED OUTDOOR ACCIDENTS DATA SYSTEM



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Health and Human Services





























Australian Government

Australian Research Council

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### Introduction

evolving since inception 8 years ago, when industry stakeholders recognised a need to tackle issues around incident reporting and injury causation in the led outdoor activity (LOA) sector in Australia.

The UPLOADS incident reporting system that was developed allows LOA providers to collect essential incident details which go beyond standard reports. Using a systemstheory model of accident causation (Rasmussen, 1997), the UPLOADS method provides a contributing factor classification scheme and a mapping framework. This method provides the tools necessary to identify the factors contributing to incidents in LOAs, as well as the systemic relationships between them.

Through the analysis of this aggregate data, the UPLOADS National Incident Dataset can be used to identify sector-wide patterns and trends in the incidence rates and contributory factors of activities. Prior to the UPLOADS Project, this information was not available in the LOA sector in Australia. It is important to note that although the reports are analysed by the research team,

The UPLOADS Project has been growing and all the contributing factors and relationships that are identified come directly from the deidentified incident reports provided by Australian LOA organisations. Therefore, the analyses of contributing factors presented in this report represent the issues that are considered important by those who reported the incidents.

> The aim of this report is to present a detailed overview of the data collected during the third year of data collection for the National Incident Dataset (1st June 2016 – 31st May 2017).

Copies of the first and second annual reports can be found on our website at

#### www.uploadsproject.org

Together, the annual reports generated by the UPLOADS National Incident Dataset contribute to an improved understanding of the incidents that occur during LOAs in Australia. These findings can be used to support the development of data-driven, targetted incident prevention strategies.

IN THIS REPORT...

# In this report...

The following report is presented in three separate sections for illnesses, injuries, and near miss incidents.

OUTCOME	DEFINITION WITHIN UPLOADS
Incident	Any event that results in an adverse outcome or a near miss.
Adverse outcome	Any event resulting in a negative impact, including: missing/overdue people; equipment or environmental damage; injury; illness; fatality; or social or psychological impacts.
Near miss	Any serious mishap that has the potential to cause an adverse event but fails to do so. For example, during a rock climbing activity an instructor notices that a participant's carabineer was not locked. If the student had fallen, this may have led to a serious injury.

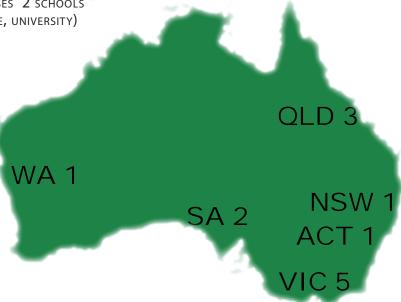
this report starts with an overview of the data collected for each outcome and a summary of contributing factors involved in each incident. the characteristics of the incidents. Incident The UPLOADS accident analysis method was rates for LOAs are calculated per 1000 participants ((number of incidents/number of participants) x 1000)) for each activity. As there are over 80 different types of activities captured in the UPLOADS data, activities are clustered into 20 broad categories which group activities with similar characteristics. For example, the category "walking/running outdoors" includes bush walking, orienteering and adventure races. The category 'river activities' includes canoing, rafting and kayaking. Other incident statistics presented in this report include incident severity ratings and demographic information.

INCIDENT STATISTICS Each section of SYSTEMS ANALYSIS Also included in each section of this report is the analysis of the used to classify the contributing factors and relationships that reporting practitioners identify in the incident report. These factors are then represented as AcciMaps, which show the network of contributing factors that were identified in the incident reports, and the relationships between them.

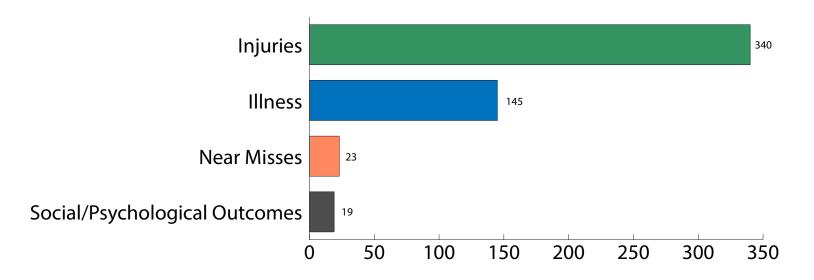
> METHOD For a full description of the method used by the UPLOADS project for the collection of data for the National Incident Dataset, please refer to our website. Details regarding the design, recruitment, and data inclusion and analysis can also be found in our earlier annual reports.

### ORGANISATIONS FROM ACROSS AUSTRALIA CONTRIBUTED DATA DURING THE 2016-2017 REPORTING PERIOD

6 CAMPS 4 COMMERCIAL ENTERPRISES 2 SCHOOLS 1 TRAINING ORGANISATION (E.G., TAFE, UNIVERSITY)



INCIDENTS WERE UPLOADED INTO THE NATIONAL INCIDENT DATASET





# Injury incidents

340 INJURY INCIDENTS REPORTED IN THE UPLOADS NATIONAL INCIDENT DATASET

2 INJURY INCIDENTS WERE REPORTED PER 1000 PARTICIPANTS

### INJURIES IN THE WILD

In Australia, the rates of injury per 1000 participants in LOAs are substantially lower than some organised sports, such as cricket (242/1000), horse-riding (122/1000), and soccer (107/1000)<sup>1</sup>.

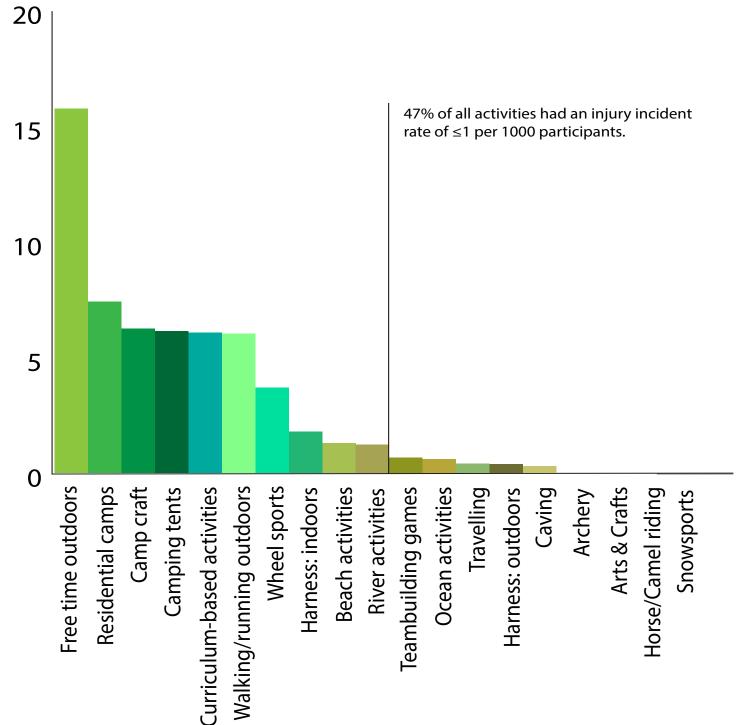
INJURY INCIDENTS

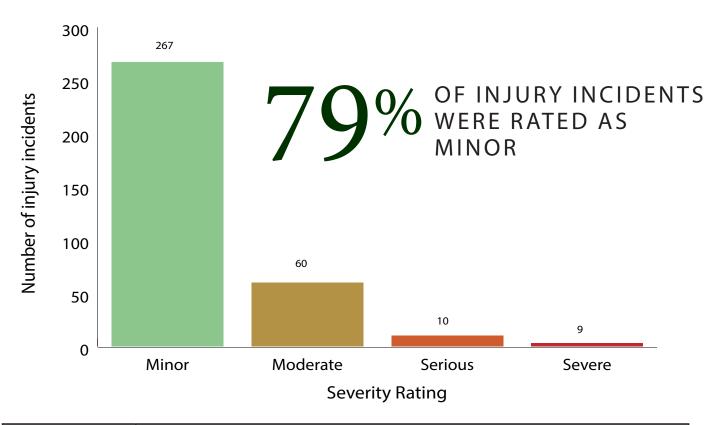
UPLOADS

### INJURY RATES BY ACTIVITY

6

**Free-time in the outdoors** had the highest recorded number of injuries in the data set with **15.7 incidents per 1000 participants.** Residential camps and campcraft (i.e., cooking, camp fires) were also amongst the activities with the highest incidence rates (7.4 and 6.2 incidents per 1000 participants, respectively).





RATING	DEFINITION
No impact	Requires no treatment (near miss).
Minor	Requires localised care (non-evacuation) with short term effects.
Moderate	Requires ongoing care (localised or external; i.e., evacuation or not) with short to medium term effects.
Serious	Requires timely external care (evacuation) with medium to long term effects.
Severe	Requires urgent emergency assistance with long term effects.
Critical	Requires urgent emergency assistance with serious ongoing long term effects.
Fatality	Fatality.

### INJURIES IN THE WILD

The injury incidence rate and the severity of the injuries that occur during Australian LOAs has remained relatively stable since the UPLOADS Project began collecting data in 2014.

2.4% OF INJURY INCIDENTS REQUIRED EMERGENCY SERVICES

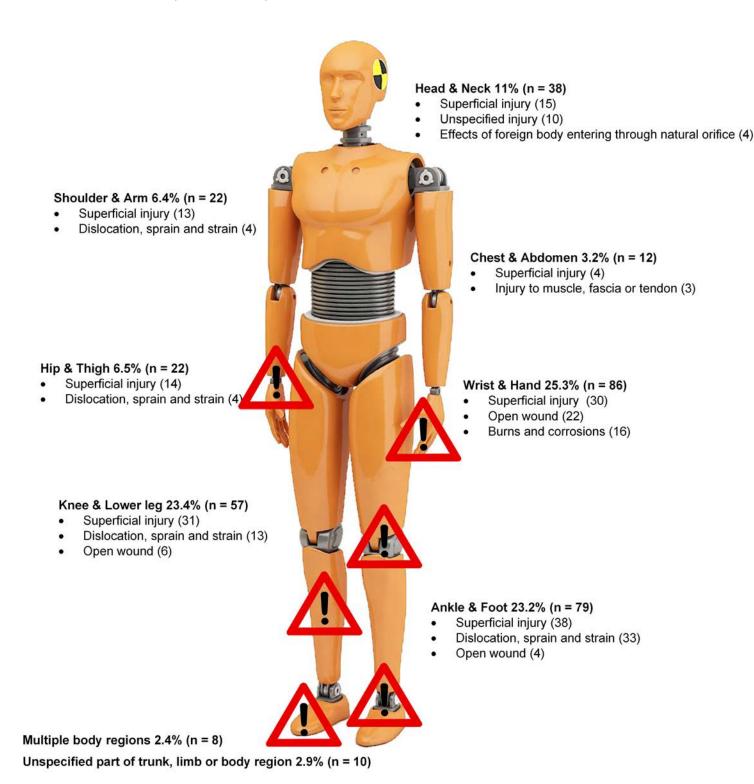
4 % OF INJURED PEOPLE REQUIRED HOSPITALISATION

9 7% OF INJURED PEOPLE REQUIRED EVACUATION

The majority of the evacuations that were required for injuries were undertaken by vehicle 75.7%. In 15.2% of evacuations the injured persons were walked out, and in 9.1% of cases a stretcher was required.

### TYPES AND BODY LOCATIONS OF REPORTED INJURIES

The figure below presents the three most frequently reported injury types for each body region. The body regions that were injured most frequently are indicated by red triangles.



INJURY INCIDENTS

UPLOADS

### **DEMOGRAPHICS**

### **GROUP PROFILE**

### SYSTEMS ANALYSIS OF INJURIES IN THE LED OUTDOORS

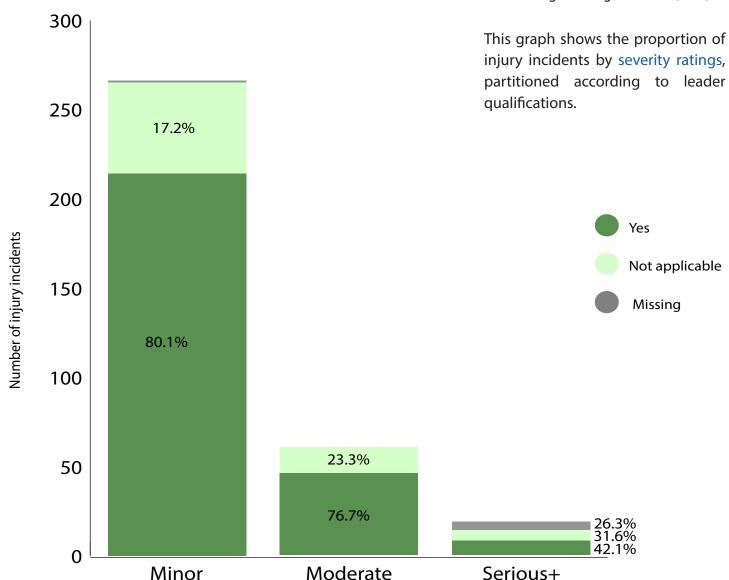
The majority of the people injured were activity participants (84.4%), with an average age of 15 years.

The average number of participants involved in activities associated with injury incidents was 15. There was a ratio of 2 activity leaders for every 15 participants in these activities.

In 79% of incidents, the activity leader was reported to have relevant qualifications. In 21% of incidents qualifications were reported to be "not applicable" and predominantly involved:

**OUALIFICATIONS** 

- free time activities (42%)
- campcraft (15%)
- walking/running outdoors (10%)

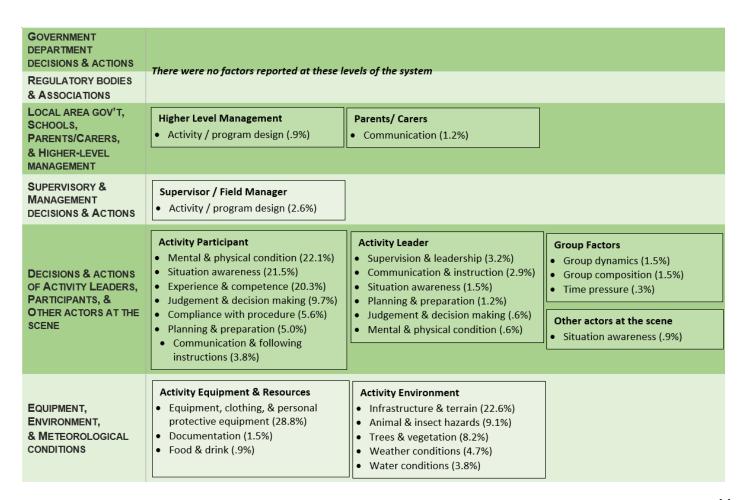


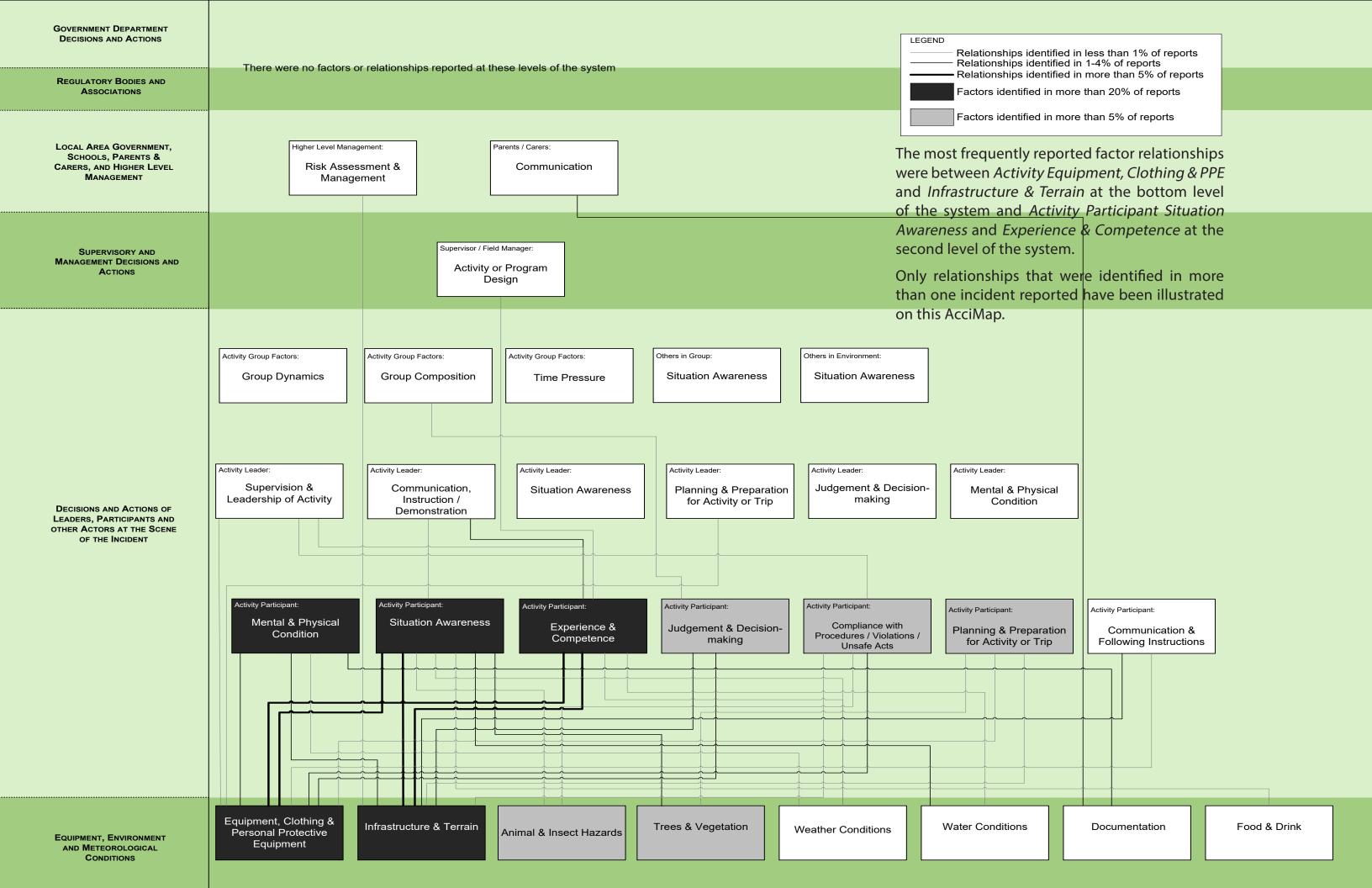
Proportions of incidents in severity rating categories partitioned by whether the leader was reported to have relevant qualifications

FACTORS CONTRIBUTING TO INJURY INCIDENTS WERE IDENTIFIED BY REPORTERS

CONTRIBUTING FACTORS IDENTIFIED ON AVERAGE PER INJURY REPORT

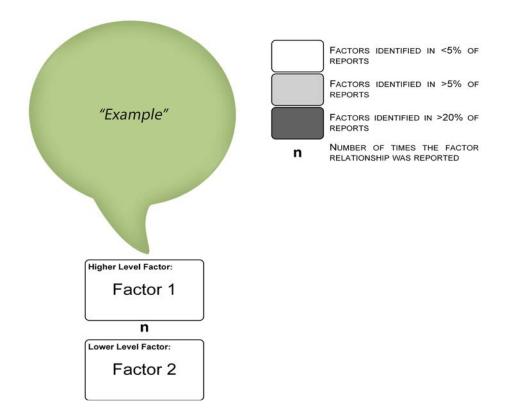
The contributing factors that were identified by reporters were in the lower four levels of the UPLOADS Accident Analysis Scheme (see table below). The relationships between these factors, and the frequencies with which they were reported, are presented in the AcciMap on the following page.







Relationships refer to the interactions between contributory factors. In the following figures, the most frequently identified factor relationships are presented. Relationships that were most frequently identified by reporters are highlighted in red text.



### LOCAL AREA GOVERNMENT, SCHOOLS, PARENTS & CARERS, AND HIGHER LEVEL MANAGEMENT

There were 17 factors reported at *Local Area Government, Schools, Parents & Carers, and Higher Level Management* levels of the LOA system framework. Fourteen (14) relationships were identified between these factors and lower level factors.

"Precautionary measures" were unable to be taken "Program management "Parents knew of child's "Campsite was not team were not aware that as participant's presleepwalking, but properly maintained." the tension on the flying existing condition was decided to withhold this fox had been lost." not listed on the medical information." form." Higher Level Management: Parents / Carers: Parents / Carers: Risk Assessment & Management Communication Judgement & Decision-making 3 1 **Activity Participant** Infrastructure & thing & Persona Documentation Mental & Physical Condition "The repetition of the activities (bushwalking and mountain biking) "Towards the end of "The location that the while carrying a camp, the participant activity was being run hiking pack combined was exhausted and not in made it difficult for with the participants concentrating on the the activity leader to inexperience contributed task." maintain supervision." to this injury." Supervisor/Field Manager: Activity or Program Design 2

**Activity Participant:** 

Experience &

Competence

**Activity Participant:** 

Mental & Physical

Condition

**Activity Leader:** 

Supervision &

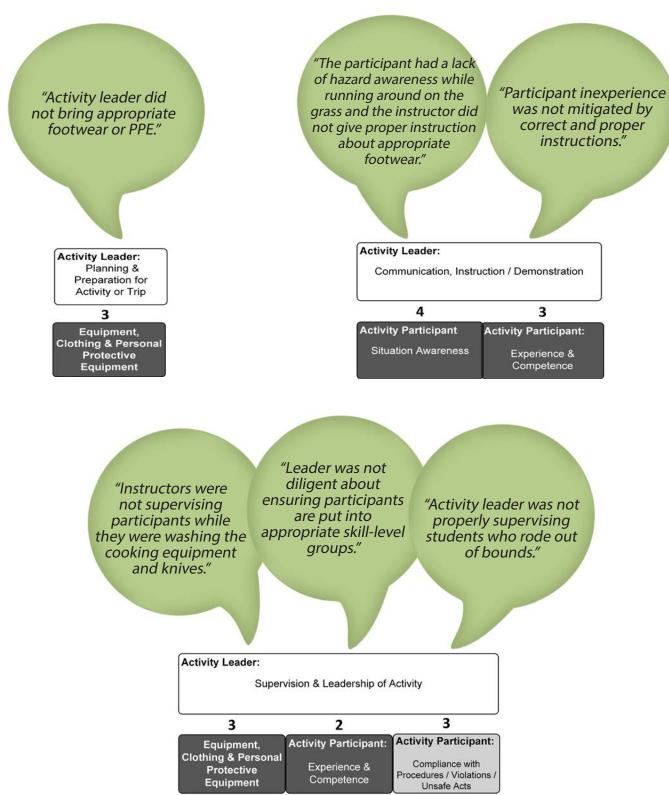
Leadership of Activity

INJURY INCIDENTS

UPLOADS

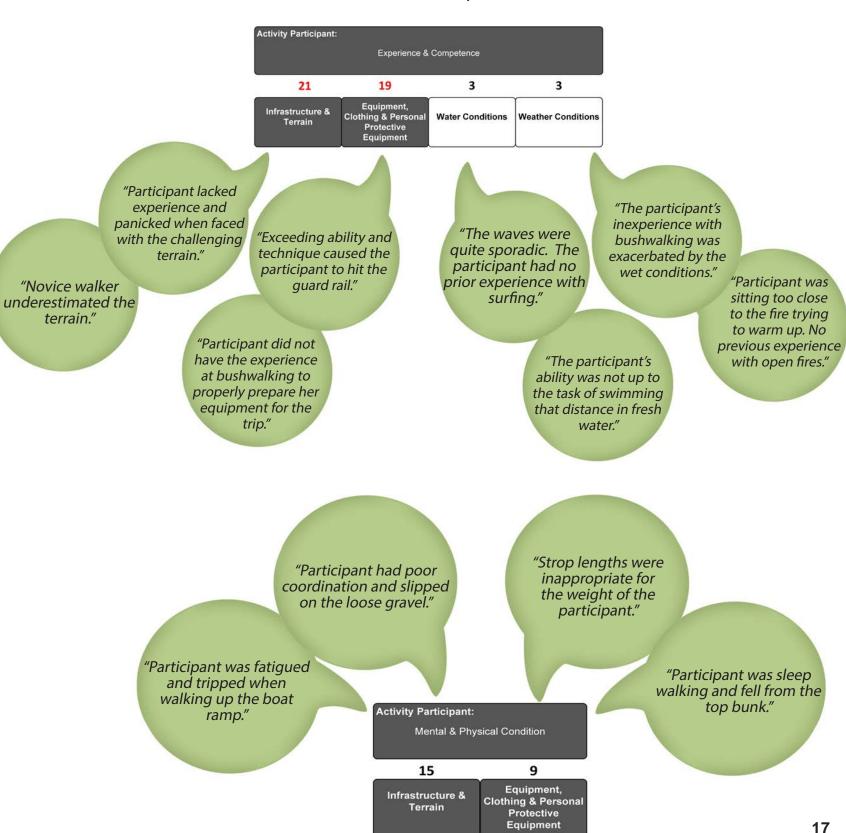
### **ACTIVITY LEADERS**

In 34 incident reports, contributing factors related to the decsions and actions of *Activity Leaders* were identified by reporters. Thirty-two (32) relationships were identified between these factors and lower level factors.



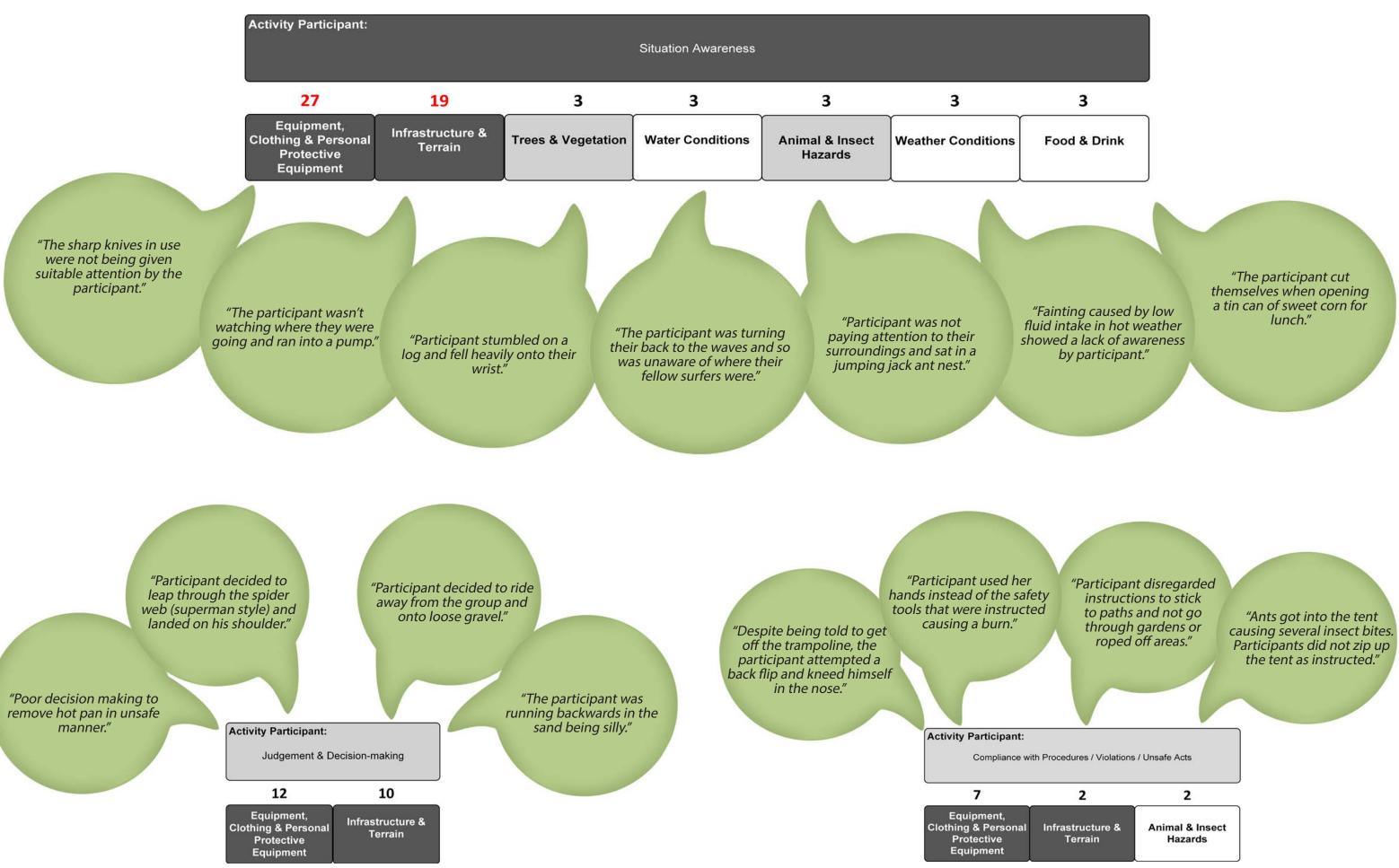
### **ACTIVITY PARTICIPANTS**

Contributing factors related to the decsions and actions of *Activity Participants* were identified in 299 incident reports. Between these factors and lower level factors, there were 236 factor relationships identified.



INJURY INCIDENTS

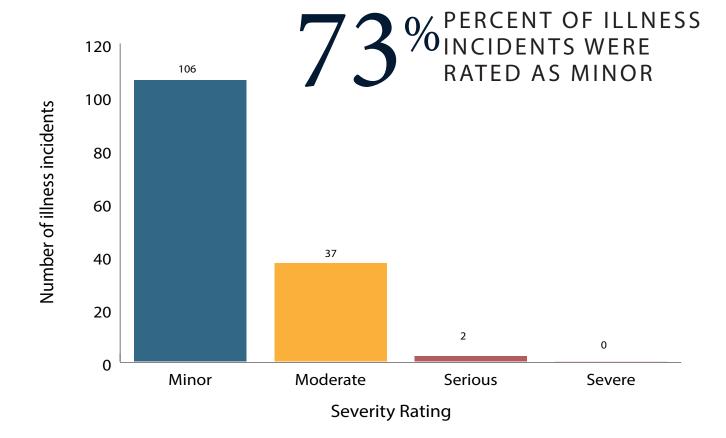
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ILLNESS INCIDENTS
UPLOADS

## Illness incidents

145 ILLNESS INCIDENTS WERE REPORTED IN THE UPLOADS NATIONAL INCIDENT DATASET **ILLNESS INCIDENT** WAS REPORTED PER 1000 PARTICIPANTS



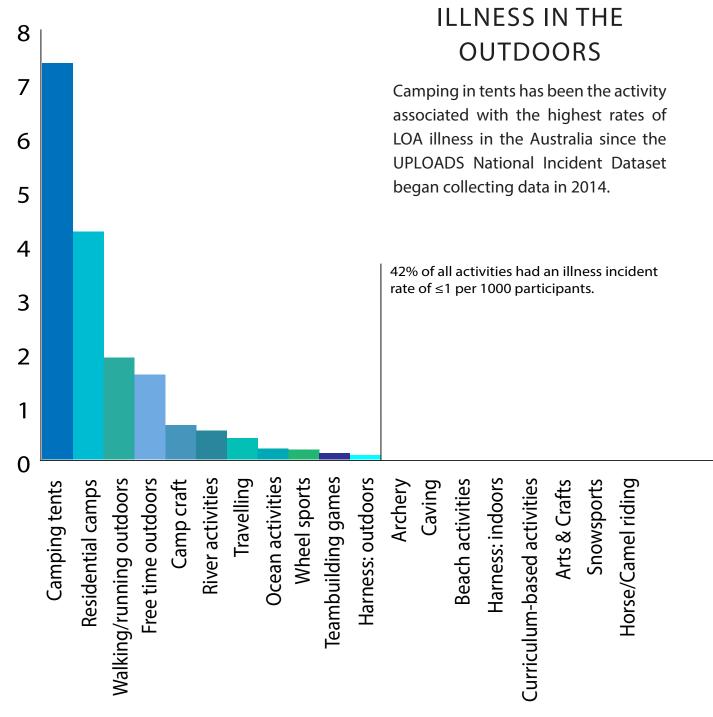
22% OF ILL PEOPLE REQUIRED EVACUATION

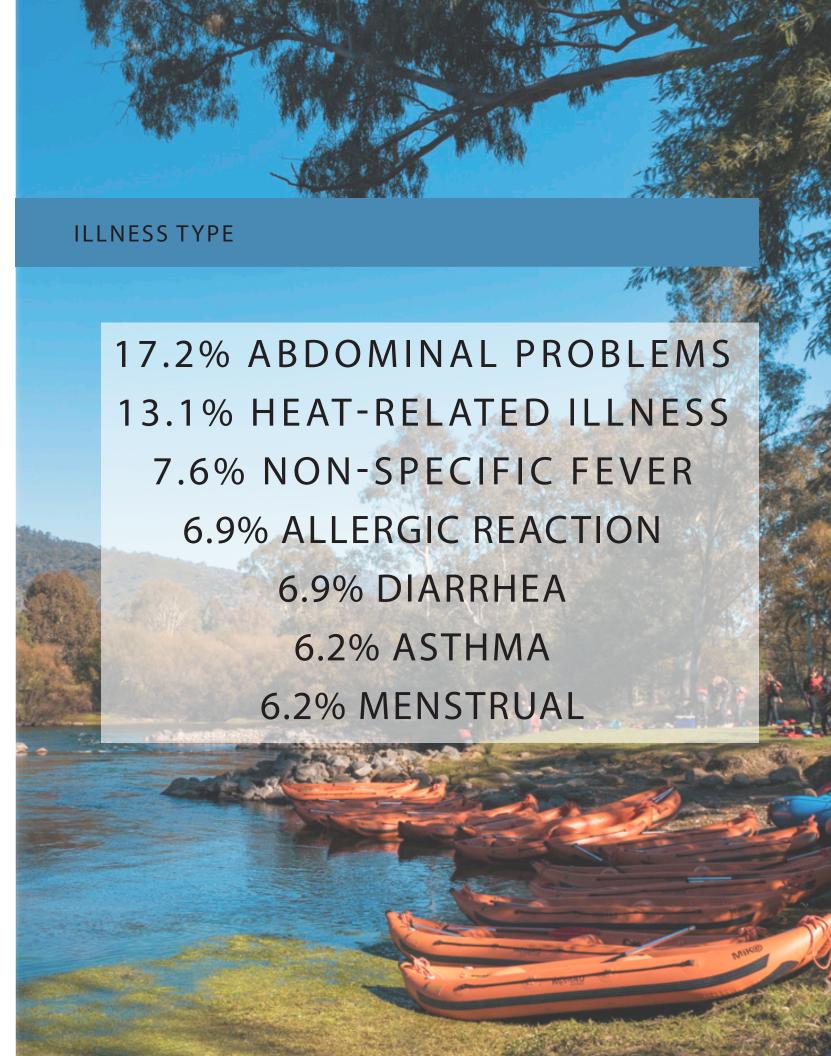
2 ILLNESS INCIDENTS REQUIRED EMERGENCY SERVICES

The majority of ill people were evacuated by vehicle (16.6%, average severity = 2, range: 1-3) or walked out (4.8%, all with a severity rating of 2). Only 1.4% of ill people required emergency services, all for asthma-related conditions (severity ratings of 1 and 2) and 2.1% of ill people required hospitalisation following evacuation (average severity = 3).

### ILLNESS RATES BY ACTIVITY

**Camping in tents** had the highest illness incidence rate (**7.4 incidents per 1000 participants**), followed by residential camps (4.2 incidents per 1000 participants) and walking/running in the outdoors (1.9 incidents per 1000 participants).





**ILLNESS INCIDENTS UPLOADS** 

### **DEMOGRAPHICS**

### **GROUP PROFILE**

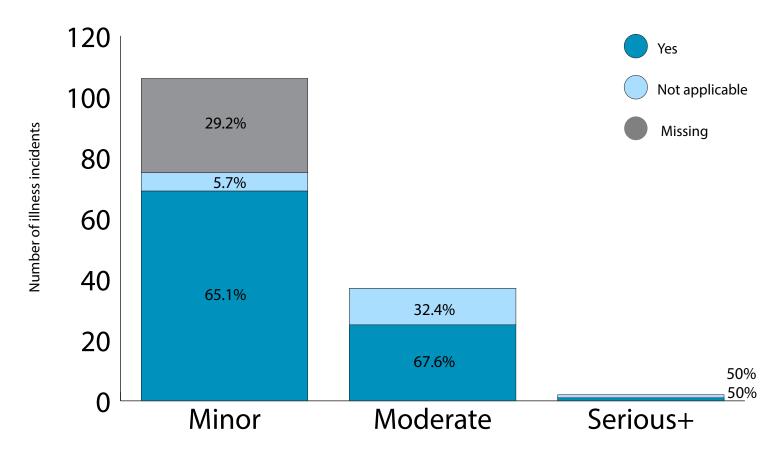
### **QUALIFICATIONS**

15 years.

average ratio of 1 activity leader applicable". for every 12 participants in these

The majority (91%) of ill people The average number of In 65.5% of incidents, the activity were identified as activity participants involved in activities leader was reported to have participants. The average age associated with illnesses was 12. relevant qualifications and in of ill activity participants was The average number of activity 8.6% of incidents qualifications leaders was 1. There was an were reported to be "not

> graph below shows proportion of illness incidents by severity ratings, partitioned according to leader qualifications.



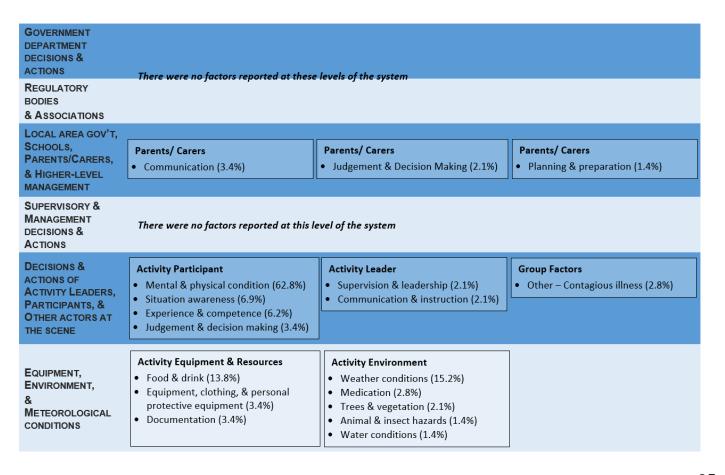
Proportions of incidents in severity rating categories partitioned by whether the leader was reported to have relevant qualifications

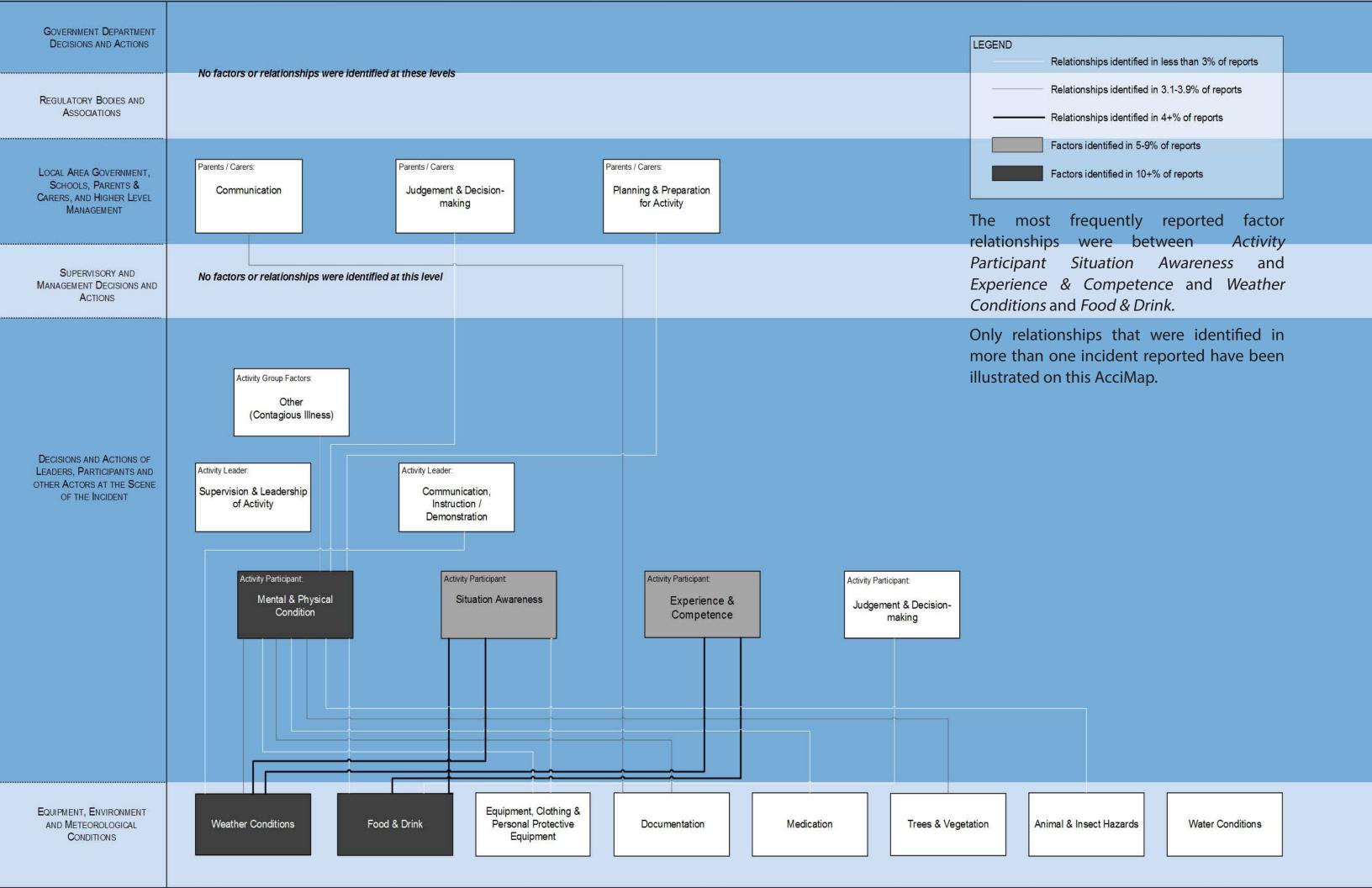
### SYSTEMS ANALYSIS OF ILLNESS IN THE LED OUTDOORS

TO ILLNESS INCIDENTS WERE IDENTIFIED BY REPORTERS

CONTRIBUTING FACTOR WAS IDENTIFIED ON AVERAGE PER ILLNESS REPORT

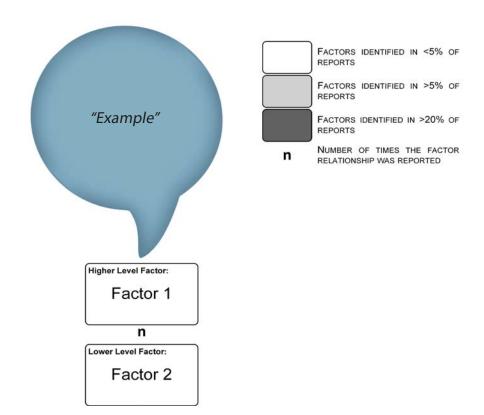
The contributing factors that were identified by reporters were at three of the lower four levels of the UPLOADS Accident Analysis Scheme (see table below). The relationships between these factors, and the frequencies with which they were reported, are presented in the AcciMap on the following page.





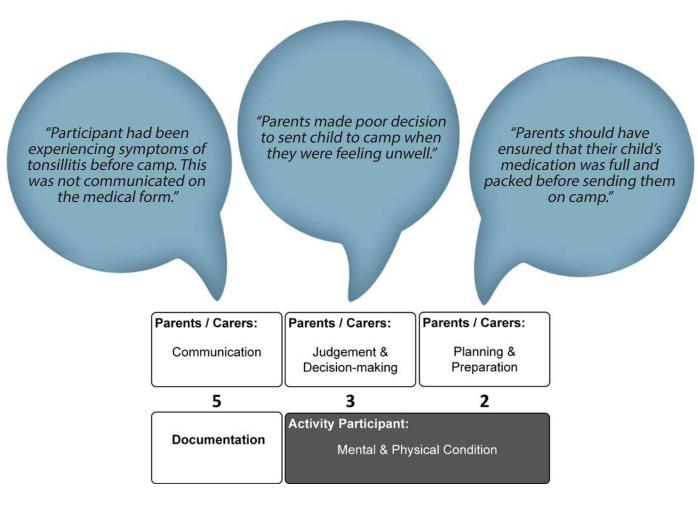


In the following figures, the most frequently identified relationships are presented. Relationships that were most frequently identified by reporters are highlighted in red text.



### LOCAL AREA GOVERNMENT, SCHOOLS, PARENTS & CARERS, AND HIGHER LEVEL MANAGEMENT

Contributing factors at the *Local Area Government, Schools, Parents & Carers, and Higher Level Management* levels of the LOA system framework were identified in 10 incident reports. The same number (10) of relationships were identified between these factors and lower level factors.



### ILLNESS IN THE OUTDOORS

The factors and relationships identified in the bottom two levels of the LOA system describe the flow of events leading up to and during an incident, including the decisions and actions made by leaders, participants, and other members of the activity group. These levels of the system are referred to as the 'sharp end'.

### **ACTIVITY LEADERS**

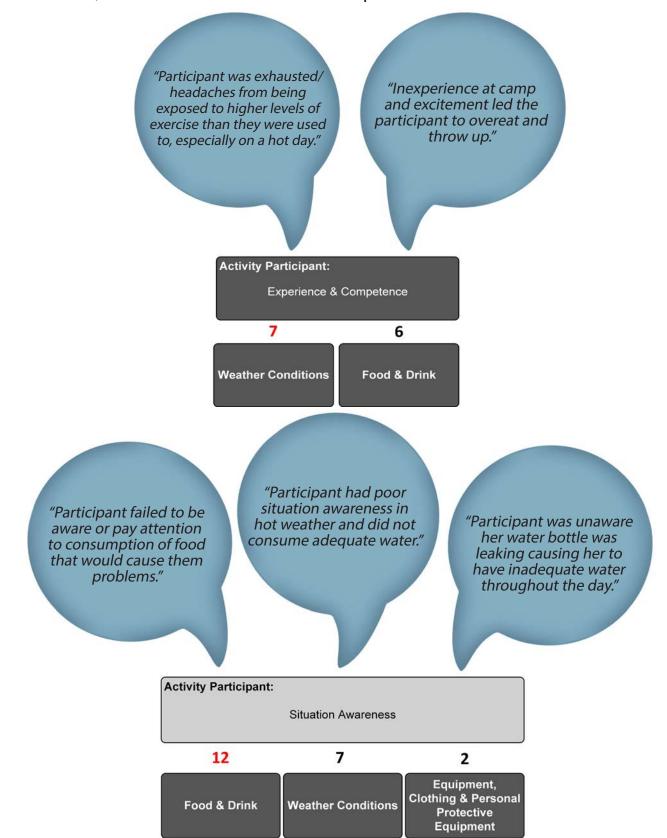
Contributing factors related to the decsions and actions of *Activity Leaders* were identified in 7 incident reports. Six (6) relationships were identified between these factors and lower level factors.



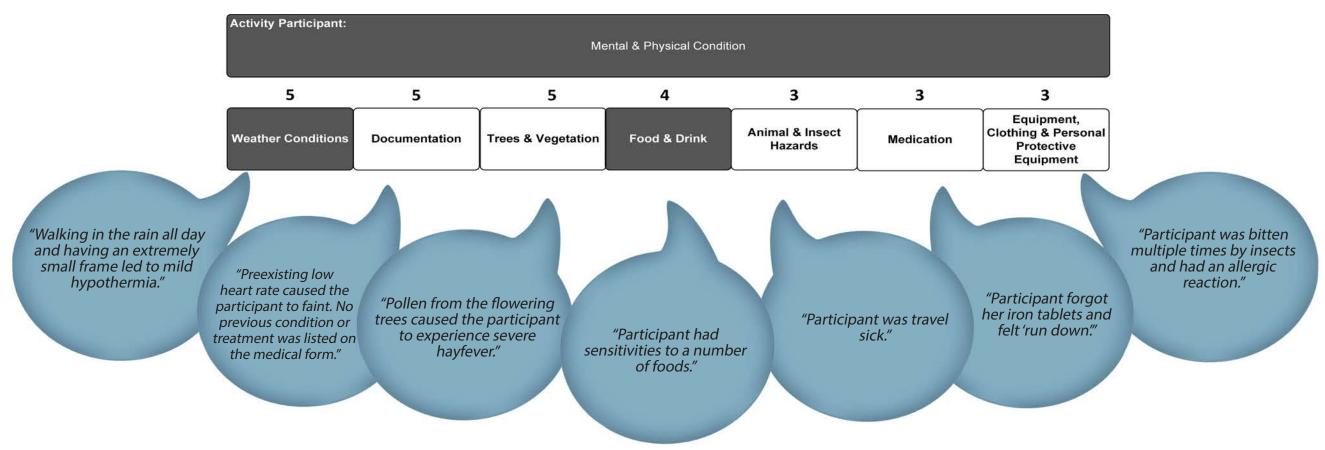


### **ACTIVITY PARTICIPANTS**

In 117 incident reports, contributing factors related to the decsions and actions of *Activity Participants* were identified by reporters. Between these factors and lower level factors, there were 70 factor relationships identified.



ILLNESS INCIDENTS
UPLOADS

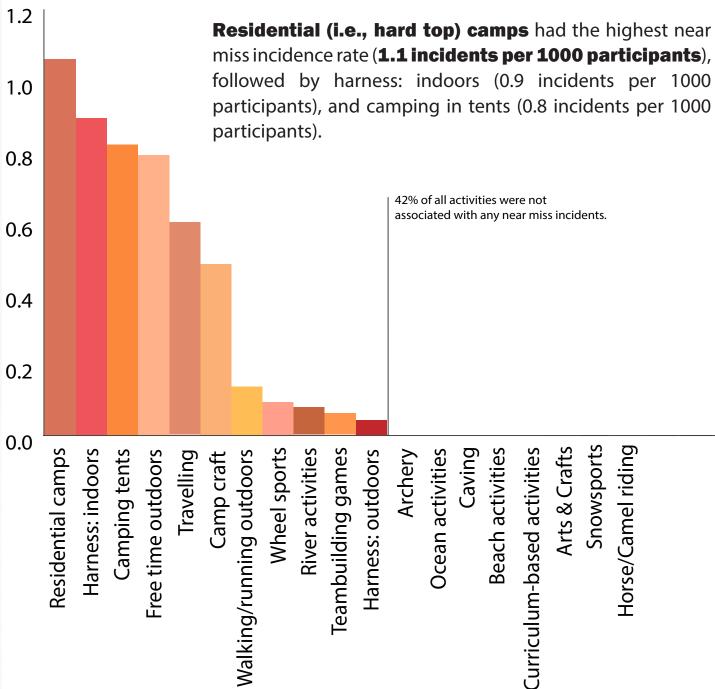






## Near miss incidents

NEAR MISS INCIDENTS WERE RECORDED IN THE UPLOADS NATIONAL INCIDENT DATASET



Reported near miss incident rate per 1000 participants (No. near miss incidents/No. of participants)

### **NEAR MISS INCIDENT SEVERITY**

# OF NEAR MISS INCIDENTS HAD A SERIOUS TO FATAL POTENTIAL SEVERITY



### **Potential Severity Rating**

Near miss incidents are rated in terms of potential severity, and refer to any serious mishap that has the potential to cause an adverse event but fails to do so because of chance or because it is intercepted.

### THE NATURE OF **NEAR MISS**

The importance of reporting and analysing near miss incidents in the LOA sector is emphasised by the consistent finding that the majority of these types of incidents are reported to be potentially serious or fatal.

### **DEMOGRAPHICS**

The majority of people in- The average number of particiage.

### **GROUP PROFILE**

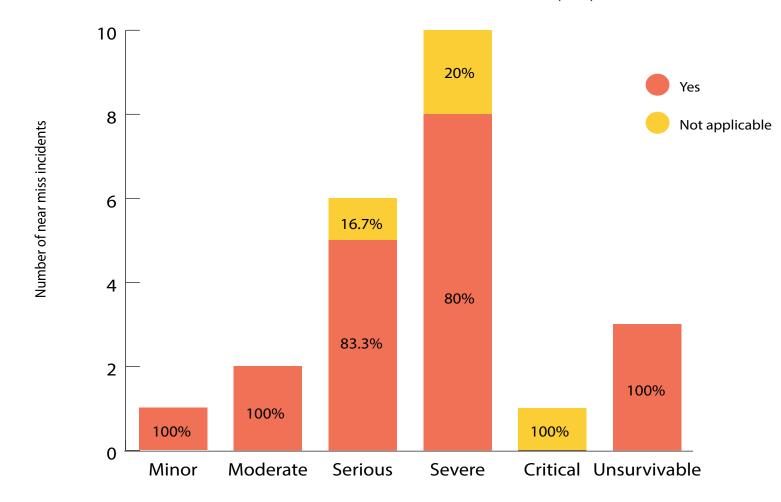
volved in near miss incidents pants involved in activities assowere identified as activity par- ciated with near miss incidents ticipants (82.6%). Insufficient was 14. Respectively, the averdata was reported for the calage number of activity leaders culation of sex and average and supervisors was 2 and 1. There was an activity ratio of 1 activity leader for every 7 participants when near miss incidents occurred.

### **QUALIFICATIONS**

In majority of the near miss incidents (82.6%), the activity leader was reported to have relevant qualifications. In four incidents leader qualifications were reported as "not applicable".

The graph below shows the proportion of near miss incidents by potential severity ratings, partitioned according to leader qualifications.

- activities (42%)
- campcraft (15%)
- walking/running outdoors (10%)



Proportions of near miss incidents in potential severity rating categories partitioned by whether the leader was reported to have relevant qualifications

NEAR MISS INCIDENTS

UPLOADS

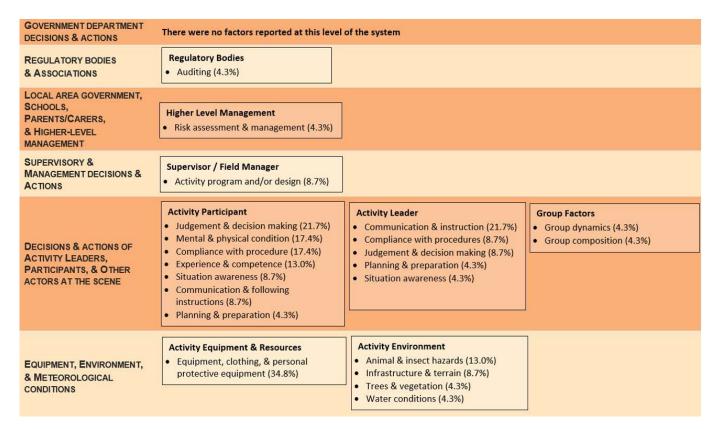
### SYSTEMS ANALYSIS OF NEAR MISS INCIDENTS IN THE LED OUTDOORS

53 CONTRIBUTING FACTORS TO NEAR MISS INCIDENTS WERE IDENTIFIED BY REPORTERS

2 CONTRIBUTING FACTORS
WERE IDENTIFIED ON AVERAGE
PER NEAR MISS REPORT

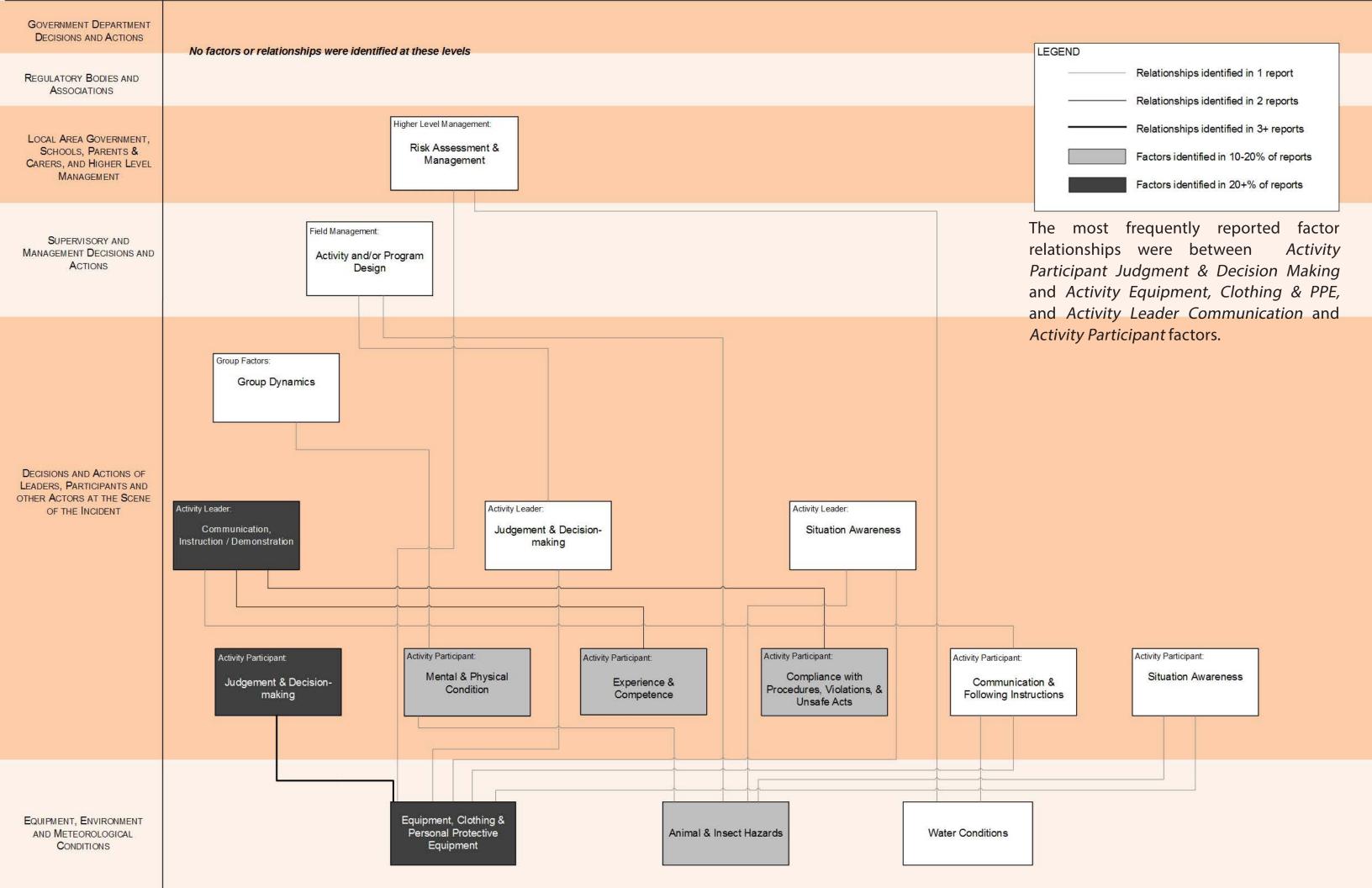


Near miss incident reporters identified contributing factors at four of the five levels of the UPLOADS Accident Analysis Scheme (see table below). The relationships between these factors, and the frequencies with which they were reported, are presented in the AcciMap on the following page.



## THE NATURE OF NEAR MISS

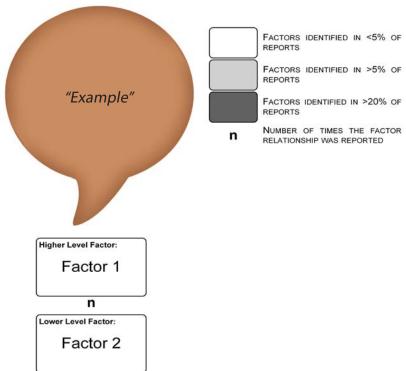
Analysing near miss reports offers a unique opportunity to learn from incidents before they eventuate into serious events. The factors that underpin these incidents are comparable to the contributory factors identified in adverse incidents.





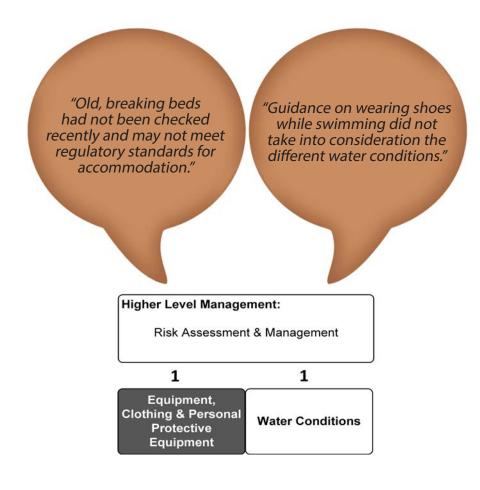
# RELATIONSHIPS WERE IDENTIFIED BETWEEN NEAR MISS-RELATED CONTRIBUTING FACTORS

In the following figures, the most frequently identified relationships are presented. Relationships that were most frequently identified by reporters are highlighted in red text.



### LOCAL AREA GOVERNMENT, SCHOOLS, PARENTS & CARERS, AND HIGHER LEVEL MANAGEMENT

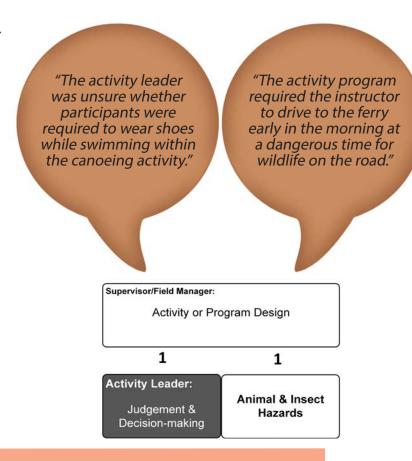
Risk Assessment and Management was identified in two reports as a contributing factor. Two (2) relationships were identified between this factor and lower levels of the system.



NEAR MISS INCIDENTS

### SUPERVISORY AND MANAGEMENT DECISIONS AND ACTIONS

Contributing factors at the Supervisory & Management Decision level were identified in 2 incident reports. Two (2) relationships were identified between these factors and lower levels of the UPLOADS framework.



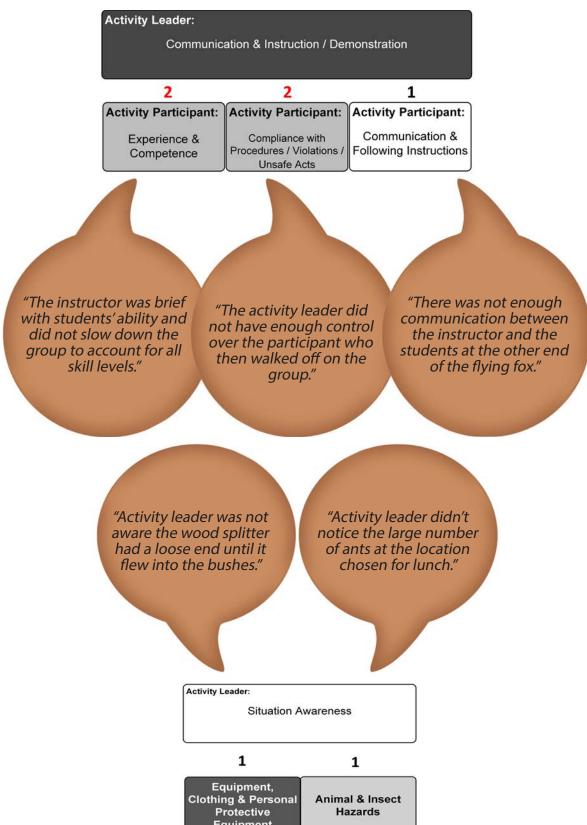
### **ACTIVITY GROUP FACTORS**



In 2 reports, contributing factors at the *Activity Group Factor* level of the system were identified. One relationship was identified between *Activity Group Composition* and *Participant Mental & Physical Condition*.

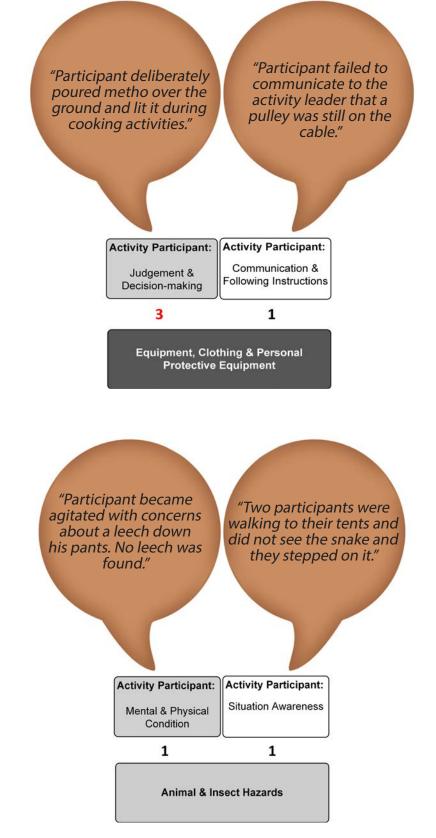
### **ACTIVITY LEADERS**

Eleven (11) incident reports identified contributing factors from the *Activity Leader* level of the UPLOADS framework. Eight (8) relationships were identified between these factors and lower level factors.



### **ACTIVITY PARTICIPANTS**

Twenty-one (21) reports identified contributing factors at the *Activity Participant* level of the framework. Between these factors and lower level factors, there were 6 factor relationships identified.





LEARNING WITH UPLOADS **UPLOADS** 

## Learning with UPLOADS

the analysis of the UPLOADS National Incident Dataset.

#### **INCIDENCE RATES**

The incidence rate for injuries, illnesses and near misses is considered very low (2.2, 0.9, and 1.1 per 1000 participants respectively). When compared to other sports such as cricket (242 injuries per 1000 participants), horse-riding (122/1000), soccer (107/1000) and netball (51/1000; Finch, Cassell, & Stathakis, 1999), the injury rate for LOAs is relatively low. These incidence rates have also remained relatively stable over the three years in which UPLOADS has been in operation.

Dataset also shows which activities illnesses and near miss incidents.

For injury incidents, free-time outdoors, The residential camps and campcraft contributing (i.e., cooking and camp fires) had the Participant Mental & Physical Condition, highest recorded number of injuries Activity Participant Situation Awareness, (15.7, 7.4, and 6.2 incidents per 1000 Activity Equipment, Clothing & PPE, and participants, respectively). Camping in tents had the highest illness-related

There are a number of important lessons incidence rate (7.4 incidents per 1000 pertaining to incident causation in participants), followed by residential Australian LOAs that can be drawn from camps (4.2 incidents per 1000 participants) and walking/running in the outdoors (1.9 incidents per 1000 participants). Notably, these findings are again consistent across the previous UPLOADS dataset analyses (Clacy et al., 2016; van Mulken et al., 2015).

> The consistency of the incident rates for these activities suggests that further attention should be given to safety management during these types of activities, which are less overtly risky (compared to harness or water based activities, for example).

#### **CONTRIBUTORY FACTORS**

Perhaps the most important contribution of the National Incident Dataset is the The analysis of the National Incident collection of information regarding the systemic factors that contribute to injury, have the greater incidence of injuries, illness and near miss incidents during LOAs.

> frequently identified most factors were Activity Infrastructure & Terrain.

Whilst these are important, the key to prevention strategies may better focus preventing future adverse events lies in on the broad network of contributing understanding why actions made sense factors driving adverse events, as opposed at the time. Accordingly, various other to focusing on the issues associated with contributory factors were identified including organisations risk assessment and the activity environment in isolation. management processes, communications between schools, parents and activity providers, and activity or program design.

The relationships identified between the contributory factors reported in the National Incident Dataset also offer detailed insight incidents represent systems issues into LOA incidents. The most frequently in that they are underpinned by a reported contributing factor relationships were between Activity Equipment, Clothing & PPE and Infrastructure & Terrain, and Activity Participant Situation Awareness and their Experience & Competence.

Relationships were also found between higher and lower level factors, as seen between Parent & Carer Communication and Documentation; Higher Level Management Risk Assessment & Management and Infrastructure & Terrain; and Activity & Program Design and Activity Participant Experience & Competence.

Examining these networks of contributing factors and their relationships reveals the prominent contributing factors from across the LOA system, from the immediate environment to the influence of the parents and carers of activity participants. By considering the complexities of safety in the Australian LOA sector, future incident

instructors, participants, equipment and

#### CONCLUSION

The findings once again demonstrate that injury, illness and near miss network of contributory factors that reside across the overall LOA system. A range of contributory factors and relationships were identified across the incidents reported in the National Incident Dataset. There remains work to do to ensure that the full range of contributory factors are being reported; however, the contributing organisations should be commended for the rich dataset that they have provided.



#### **AFTERWORD**

We would like to acknowledge the sector's critical role in producing the UPLOADS National Incident Dataset. This dataset represents a huge contribution of time and effort from the organisations involved, both in terms of data collection and maintaining the quality of the reports. We would like to thank those organisations and our funding partners. We would also like to urge others to contribute data in future. The future of UPLOADS is dependent upon the provision of data from participating organisations across Australia. Whilst we acknowledge that practitioners are working under significant pressures and time constraints, we urge the sector to continue contributing data. Without data, it is not possible to generate meaningful analyses or for the UPLOADS National Incident Dataset to survive. The UPLOADS team are currently working towards developing a new reporting system which will reduce the administrative burden of contributing data.





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